

15541 U.S. PTO
032604

In the United States Patent and Trademark Office

Mailed T99 3/26/04

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: ASAYE JAIN

Applicant #2, Name: _____

Title: METHOD AND APPARATUS FOR SIMULTANEOUS OPTICAL COMPENSATION OF CHROMATIC AND POLARIZATION MODE DISPERSION

☒ Specification, Claims, and Abstract: Nr. of Sheets 30

☒ Declaration: Date Signed: 3/26/04

☒ Drawing(s): Nr. of Sheets Enc.: Formal: _____ Informal: 12

☐ Small Entity Declaration of Inventor(s) ☒ SED of Non-Inventor / Assignee / Licensee

☒ Assignment enclosed with cover sheet and recordal fee; please record and return.

☒ Check for \$ 572 for:

☒ \$ 567.00 for filing fee (not more than three independent claims and twenty total claims are presented).

☒ \$ 65.00 additional if Assignment is enclosed for recordal.

☐ Information Disclosure Statement, Form PTO-1449, and listed references.

☐ Disclosure Document Program reference letter.

☒ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. 60/457,327 filed 3/26/03.

☒ Return Receipt Postcard Addressed to Applicant #1.

☒ **Request Under MPEP § 707.07(j):** The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Ajay R. Jain
Applicant #1 Signature

Applicant #2 Signature

200 WESTERN AVE.
Address (Send Correspondence Here)

Address

BRATTLEBORO, VT 05301-6224

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3/26/04

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Form 10-1: Patent Application Transmittal Letter

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Assignment of Invention and Patent Application

For value received, AJAYKUMAR R. JAIN

of 565 Metadero Ave, Apt # 9
Palo Alto, CA 94306

(hereinafter ASSIGNOR), hereby sells, assigns, transfers, and sets over unto Minerex LLC, d/b/a Jeralum

of 200 Western Ave, Brattleboro, VT-05301

and her or his successors or assigns (hereinafter ASSIGNEE) 100 % of the following: (A) ASSIGNOR'S

right, title and interest in and to the invention entitled "Method and Apparatus
for Simultaneous Optical Compensation" of

invented by ASSIGNOR; (B) the application for United States patent therefor, signed by ASSIGNOR on 3/22/04, U.S. Patent and Trademark Office Serial Number chromatic & polarization mode dispersion

filed 3/26/04; (C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuations-in-part, substitutes, or divisions of said application. ASSIGNOR authorizes ASSIGNEE to enter the date of signature and/or Serial Number and Filing Date in the spaces above. ASSIGNOR also authorizes and requests the Assistant Commissioner for Patents to issue any resulting patent(s) as follows: 0 % to ASSIGNOR and 100 % to ASSIGNEE. (The singular shall include the plural and vice versa herein.)

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, the above percentage of ASSIGNOR'S entire right, title, and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent. ASSIGNOR agrees to execute all papers, give any required testimony, and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

In testimony whereof ASSIGNOR has hereunto set its hand and seal on the date below.

AJAYKUMAR R. JAIN
Ajay R. Jain

State: california
County: santa clara } ss

Subscribed and sworn to before me by Ajaykumar R. Jain, 199
on March 22, 2004.

Roumiana A. Kadiev
Notary Public

SEAL



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